

## Interview Summary

**Application No.**

09/160,618

**Applicant(s)**

CHRISTENSEN, EDWIN H.

**Examiner**

Todd D Ware

**Art Unit**

1615

All participants (applicant, applicant's representative, PTO personnel):

(1) Todd D Ware. (3) \_\_\_\_\_.

(2) Gerry Shekleton. (4) \_\_\_\_\_.

Date of Interview: 29 August 2001.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: \_\_\_\_\_.

Identification of prior art discussed: Yang et al (4,882,153).

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☒ It is not necessary for applicant to provide a separate record of the substance of the interview(if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

\_\_\_\_\_  
Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not required.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Continuation of Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Discussed "After-final Response" of 8-24-01 and arguments toward the teachings of '153 and sugar and page 5, lines 5-9 of the instant specification. A declaration would be necessary to demonstrate non-obviousness of inclusion of only sucrose as the required sugar to establish criticality of sucrose along with "consisting of" or "consisting essentially of" language. "Consisting essentially of" language would be interpreted as "comprising" in the absence of a declaration demonstrating detrimental effects of those ingredients intended to be excluded (MPEP 2111.03). Also discussed "Obvious to Try" argument and suggestion to modify located at the bottom of column 6 in '153.

  
THURMAN K. PAGE  
SUPERVISORY PATENT EXAMINER  
ART UNIT 152 LCL

Continuation of 5. does NOT place the application in condition for allowance because: while applicant argues that Yang et al ('153) does not disclose "amounts of starch which would be effective" nor that amounts that fall within the instant ranges, it is submitted that column 7, lines 5-22 discloses starch as a sweetener and that mixtures of sweeteners are contemplated in a range of 6 to about 70% (the instant range is 10-50%). Applicant also argues that the amounts of ingredients in '153 fall under the presumption of "obvious to try" and that the public is not in possession of invention as "there is no teaching or suggestion that starch should be included in the subject composition at an amount of 10-50%." Applicant is again directed to column 7, lines 5-22. In response to applicant's "obvious to try" argument, applicant is directed to MPEP 2144.05. The instant ranges overlap the ranges of '153. Furthermore, '153 explicitly teaches manipulating the amount of water in the composition in column 6, lines 60- column 7, line 2 in order to prevent a low "moisture content resulting in a brittle and crumbly product" and to prevent a high water content" where microorganism growth becomes a problem and the texture loses its chewiness." Applicant is directed to MPEP 2145 X(B) for discussion of "Obvious to Try Rationale." Most notably: "Obvious to Try Rationale" has not been found persuasive where the prior art provides a suggestion to modify the prior art to produce the claimed invention, and evidence suggesting the modification would be successful. The teachings in column 6, lines 60- column 7, line 2 of '153 satisfy this requirement. Regarding applicants comments toward a non-fat, non-sugar preferred embodiment and that inclusion of sucrose for one of the named sweeteners, applicant is again directed to column 7, lines 13 which states that xylose, ribose, glucose, mannose, galactose, fructose, dextrose, and maltose are examples of sugars that are used as sweeteners in the formulation. Furthermore, examples 1-3, and 5 all include fructose. It is also noted that page 5, lines 5-9 of the instant specification appears to teach that the type of sugar is not critical .